



The Quoted
Companies Alliance

QCA Corporate Finance Advisors Committee Terms of Reference

Aims and objectives of the Committee:

- To be a focal point and forum for discussion for corporate finance and corporate broking practitioners advising small and mid-cap quoted companies.
 - To be a public voice about current industry matters affecting the corporate finance and corporate broking advisory community.
 - To influence market practice, market structures and the regulatory framework to support the responsible operation and continued success of advisory firms operating in the small and mid-cap quoted company sector.
 - To support the continued growth and success of UK small and mid-cap quoted companies, working with other QCA committees where relevant, for example to promote proportionate and appropriate UK and EU regulation and a positive and liquid market environment, and facilitate access to cost effective financing.
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Activities of the Committee:

- To build relationships with and lobby, as appropriate, public bodies in the UK and in Europe, in particular the UKLA/FSA, LSE/AIM, Takeover Panel and HM Treasury, as appropriate.
 - To gather and focus practitioners' views and discuss corporate finance and corporate broking advisory issues affecting the small and mid-cap quoted companies sector, sharing knowledge and, if relevant, developing a common position or identifying issues which require the committee to connect with, or lobby public bodies.
 - To respond, jointly with other QCA committees if appropriate, to relevant public consultations.
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Constitution of the Committee:

- The Committee will aim to have no fewer than six and no more than 14 members (outside those QCA personnel attending ex-officio).
- A Chairman and Deputy Chairman will be appointed.
- The Chairman and Deputy Chairman will normally rotate every three years.
- Committee Members should normally retire after 5 years.
- The Committee will aim to meet at least six times as year.