

Meeting of the Secondary Markets Expert Group



To be held on: Wednesday 17 January 2018, 4.30pm – 6.00pm

Venue: Peel Hunt LLP, Moor House, 120 London Wall, London, EC2Y 5ET

AGENDA

1. Welcome to Stephen Hanks, the Financial Conduct Authority (FCA), to discuss MiFID II implementation.

2. Apologies

3. Minutes of the last meeting (15 November 2017)

4. Issues for discussion

- a) MiFID II implementation
- b) London Stock Exchange consultation – Changes to AIM Rulebooks (RD: 29 January 2018)
- c) European Commission public consultation on building a proportionate regulatory environment to support SME listing (RD: 26 February 2018)
- d) ESMA consultation – Amendments to Commission Delegated Regulation (EU) 2017/587 (RTS 1) (RD: 25 January 2018)
- e) Brexit

5. Communications / future meetings

- a) ESMA updates Q&As on the following topics:
 - Transparency
 - Market structures
 - Investor protection and intermediaries
 - Post-trading issues
- b) ESMA updates Q&As on the implementation of CSDR
- c) Policy Update (January 2018)
- d) Guests for future meetings

6. Any Other Business

Next Meeting: Wednesday 28 March 2018, 4.30pm – 6.00pm (Venue: finnCap, 60 New Broad Street, London, EC2M 1JJ)