

AGENDA

1. Apologies

2. Minutes of the last meeting (19 February 2014)

3. Issues for Discussion:

- London Stock Exchange – Consultation on the market structure for the introduction of an intra-day auction for SETS Equities and IOB (http://www.londonstockexchange.com/traders-and-brokers/rules-regulations/change-and-updates/stock-exchange-notices/2014/n0214_attach1.pdf). (RD: 17 April 2014)
- ESMA – Discussion Paper Draft Technical Standards for the Regulation on improving securities settlement in the European Union and on central securities depositories (CSD) (http://www.esma.europa.eu/system/files/2014-299_discussion_paper_on_central_securities_depositories_0.pdf). (RD: 22 May 2014)
- ESMA – Consultation Paper on Draft Regulatory Technical Standards on major shareholdings and indicative list of financial instruments subject to notification requirements under the revised Transparency Directive (http://www.esma.europa.eu/system/files/esma-2014-300_consultation_paper_on_draft_rts_on_major_shareholdings.pdf). (RD: 30 May 2014)
- Top 20 questions directors should ask when considering raising alternative finance

4. Communications and Future Meetings:

- Other issues for the group to consider
- European Commission Communication on Long-Term Financing of the European Economy – For information only
- MiFID II – For information only
- Policy Update (February 2014) – For information only
- Guest invitations to future meetings

5. AOB

Information for noting

1. Consultation Update – Responses submitted: None
2. Next meeting(s)

17.00 Wednesday 11 June 2014 (Venue: Speechly Bircham LLP, 6 New Street Square, London EC4A 3LX)