

AGENDA

- 1. Welcome to with Derek Young and Hemant Bhatia, FCA, to discuss the Central Securities Depositories Regulation**
- 2. Apologies**
- 3. Minutes of the last meeting (30 September 2014)**
- 4. Issues for Discussion:**
 - ESMA Consultation on Technical Standards under the CSD Regulation (<http://www.esma.europa.eu/consultation/Consultation-Technical-Standards-under-CSD-Regulation>) – RD: 19 February 2015
 - ESMA Consultation on Technical Advice under the CSD Regulation (<http://www.esma.europa.eu/consultation/Consultation-Technical-Advice-under-CSD-Regulation>) – RD: 19 February 2015
 - Consultation on Guidelines on Access to a CCP or a Trading Venue by a CSD (<http://www.esma.europa.eu/consultation/Consultation-Guidelines-Access-CCP-or-Trading-Venue-CSD>) – RD: 19 February 2015
 - Consultation on MiFID II/MiFIR (<http://www.esma.europa.eu/consultation/Consultation-MiFID-II-MiFIR>) – With Legal Expert Group – RD: 2 March 2015
 - Final Report: ESMA's Technical Advice to the Commission on MiFID II and MiFIR (http://www.esma.europa.eu/system/files/2014-1569_final_report_-_esmas_technical_advice_to_the_commission_on_mifid_ii_and_mifir.pdf) - Legitimacy of inducements and SME Growth Markets
- 5. Communications and Future Meetings:**
 - ESMA Open Hearing on MiFID II/MiFIR (19.02.2015 – Registration until 25.01.2015)
 - Other issues for the group to consider
 - Policy Update (December 2014) – for information only
 - Guest invitations to future meetings
- 6. AOB**

Information for noting

1. Consultation Update – Responses submitted:
 - FCA Consultation DP14/3 The use of the dealing commission regime (9 October 2014)
2. Next meeting(s)

17.00 Wednesday 11 February 2014 (with Adam Wreglesworth, FCA, as guest) (Venue: Charles Russell Speechlys LLP, 6 New Street Square, London EC4A 3LX)